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PATENT, TRADEMARK OFFICE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chen et al.

Attorney Docket No. CHEN0131

Serial No.: 09/597,931

Group Art Unit: 2875

Filed: June 19, 2000

Examiner: D. Hobden

Title:

FLEXIBLE SUBSTRATE MOUNTED SOLID-STATE LIGHT SOURCES
FOR EXTERIOR VEHICULAR LIGHTING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

December 24, 2001

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TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. _____ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.
- ☐ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☒ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. _____ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. ☒ the fee set forth in 37 C.F.R. § 1.17(p). Check No. 5598 in the amount of \$180 is enclosed.

01/28/2002 DTESSEM1 00000281 09597931

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6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed after the mailing date of either:
- a. _____ a final action under 37 C.F.R. § 1.113; or
- b. _____ a notice of allowance under 37 C.F.R. § 1.311,
- but before payment of the issue fee. The statement is accompanied by a certification as specified in 37 C.F.R. § 1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee set forth in 37 C.F.R. § 1.17(i)(1). Check No. _____ in the amount of \$____ is enclosed.
- X 7. Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted,

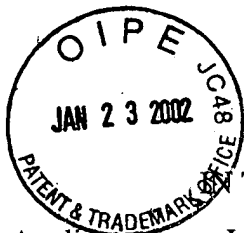
Ron Anderson

Ronald M. Anderson
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on December 24, 2001.

Date: December 24, 2001

Harold S. ...



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PATENT TRADEMARK OFFICE

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James C. Chen et al. Attorney Docket No. CHEN0131
Serial No.: 09/597,931 Group Art Unit: 2875
Filed: June 19, 2000 Examiner: D. Hobden
Title: FLEXIBLE SUBSTRATE MOUNTED SOLID-STATE LIGHT SOURCES
FOR EXTERIOR VEHICULAR LIGHTING

SECOND SUBMISSION OF
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

January 16, 2002

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TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE:

On November 9, 2001, applicant submitted a Supplemental Information Disclosure Statement in the above-identified patent application. Due to the recent anthrax-related problems faced by the Patent Office, it does not appear that this original submission has been received and/or processed by the Patent Office. Accordingly, applicant herewith submits a complete copy of the original November 9, 2001 submission for the record, which included a Supplemental Information Disclosure Statement, Supplemental Information Disclosure Statement listing sheet, two references, \$180 check, and return receipt postcard. A new check No. 5656 in the amount of \$180 is submitted herewith to provide for payment of the fee included with the previously submitted documents. Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted,

Ronald M. Anderson
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and Trademark Office, Washington, D.C. 20231, on January 16, 2002.

Date: January 16, 2002

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COPY

CHEN0131 The following have been received in the U.S. Patent and Trademark Office on the date stamped hereon:

1. Supplemental Information Disclosure Statement (2pp, in duplicate)
2. Supplemental Information Disclosure Statement Listing Sheet (1pp)
3. 2 references
4. \$180.00 check for IDS fee
5. Return receipt postcard

Re: U.S. Patent Application Serial No: 09/597,931

Filed: June 19, 2000

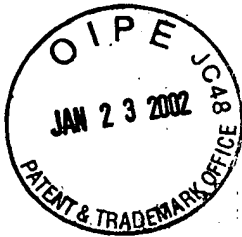
Applicant: Chen et al.

Group Art Unit: 2875; Examiner: D. Hobden

Attorney Docket No. CHEN0131

Title: FLEXIBLE SUBSTRATE MOUNTED SOLID-STATE LIGHT SOURCES FOR
EXTERIOR VEHICULAR LIGHTING

MAILED ON NOVEMBER 9, 2001
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